

ITW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 1, 2004 Name: Barbara A. LaBarge

Signature: [Signature]

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: William Neal House

Appln. No.: 10/631,184

Filed: July 31, 2003

For: SEATBACK AUDIO SYSTEM

Attorney Docket No: 11336/275 (P03005US)

Examiner: A. Barfield

Art Unit: 3636

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement (6 References and 1 European Search Report)  
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 44=			x \$88=	
First Presentation of Multiple Dep. Claim					+\$150=			+\$300=	
					Total	\$		Total	\$

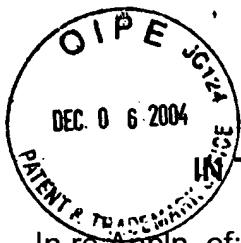
Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

December 1, 2004  
Date

[Signature]  
Sanders N. Hillis (Reg. No. 45,712)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: William Neal House

Appln. No.: 10/631,184

Filed: July 31, 2003

For: SEATBACK AUDIO SYSTEM

Attorney Docket No: 11336/275 (P03005US)

Examiner: A. Barfield

Art Unit: 3636

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(e)(1), Applicant hereby cites the following reference(s).

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a). An English translation of the abstract for European Patent No. 0993230A2 and German Patent No. 19840444A1, and a dual language translation of JP 7-264689 are also enclosed. Also enclosed is a

copy of the European Search Report issued in the corresponding European patent application. The references now cited are the following:

<u>PATENT NO.</u>	<u>DATE</u>	<u>NAME</u>
4,696,370	09/29/1987	Tokumo et al.

<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>
1995264689A (w/ dual language machine translation)	10/13/1995	Japan
0 993 230 A2 (translated Abstract)	04/12/2000	Europe
198 40 444 A1 (translated Abstract)	05/06/1999	Germany

In addition, each item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from the foreign patent office in a counterpart application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Supplemental Information Disclosure Statement.

Also, each item of information contained in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

December 1, 2004  
Date

  
\_\_\_\_\_  
Sanders N. Hillis (Reg. No. 45,712)



FORM PTO-1449	SERIAL NO. 10/631,184	CASE NO. 11336/275 (P03005US)
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE July 31, 2003	GROUP ART UNIT 3636
(use several sheets if necessary)		APPLICANT(S): William Neal House

#### REFERENCE DESIGNATION

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,696,370	09/29/1987	Tokumo et al.	181/141	11/25/1985
	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14	JP 1995264689A	10/13/1995	Japan		Dual translation doc.
	A15	0 993 230 A2	04/12/2000	Europe		Yes - Abstract
	A16	198 40 444 A1	05/06/1999	Germany		Yes - Abstract
	A17					
	A18					

#### OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A19	European Search Report from corresponding European Application No. 04 01 7111, listing the above-referenced documents.
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.